

# Securities Fraud: How to Protect Yourself

Investment fraud is on the rise and people in your community, especially those at or near retirement age, need to have the tools and resources available to protect themselves from becoming a victim of securities fraud. The Division of Securities will provide an overview of the elements of securities fraud, a felony level crime in Ohio, as well as an overview of the resources available to members of your community to help protect themselves from becoming a victim. From educating the public on how to spot and avoid fraud to prosecuting alleged violators of Ohio's securities laws, the Division provides resources to local law enforcement to help prevent and prosecute securities fraud. A recent case study can be provided with examples elderly victims.

## **Janice Hitzeman and Harvey McClesky** **Ohio Division of Securities**

### **Janice Hitzeman, Attorney Inspector and Chief of Enforcement, Ohio Division of Securities**

Prior to joining the division in 2010, Janice worked in the Fraud Division of the Ohio Department of Insurance and was an Assistant Prosecuting Attorney with the Delaware County Prosecutor's Office in the Felony Criminal Division. Prior to joining the law enforcement community, she was in private practice for 10 years, focusing on real estate, mortgage lending and title insurance law.

Janice is a member of the North American Securities Administrators Association (NASAA) Enforcement Technology Project Group and the Litigation and Deposition Skills Training Project Group. She is also Chair of the division's Enforcement Advisory Committee. She is a certified fraud examiner accredited by the ACFE. Janice graduated from Indiana University Maurer School of Law in Bloomington and is admitted to practice in Ohio, Indiana and Florida.

### **Harvey McCleskey, Deputy Attorney Inspector, Ohio Division of Securities**

Harvey is the Deputy Attorney Inspector with the Ohio Division of Securities, Enforcement Section. As Deputy Attorney Inspector, he is responsible for managing investigations into all potential violations of the Ohio Securities Act. Prior to his association with the division, he worked for the Unemployment Compensation Review Commission, and served as Section Chief for the Ohio Department of Public Safety.

Harvey is the Chairperson of the North American Securities Administrators Association (NASAA) Enforcement Publications and Manuals project group and has been a member of that project group for eight years. He also worked in corporate security for The Limited, Inc. and served as an Ohio State Trooper assigned to the Executive Protection Unit for former Governor Richard Celeste. He received his Bachelor of Science from Miami University and a Juris Doctorate from The Ohio State University.